



Tuolumne Utilities District

Addendum No. 1

March 10, 2021

PROJECT: Sonora Regional Wastewater Treatment Facility Project
TO: All Planholders of Record
FROM: Tuolumne Utilities District
SUBMISSION DATE: **April 9, 2021 at 4 pm PST**

Notice is hereby given to prospective Bidders that the Pre-Qualification Documents for the Sonora Regional Wastewater Treatment Facility Project have been modified as hereinafter set forth. This Addendum No.1 shall form a part of the Pre-Qualification Documents and takes precedence over the original Pre-Qualification Documents.

The following revisions, additions, deletions, or clarifications shall be made to the Contract Documents:

Revision: Pre-Qualification Submission Due Date

The Pre-Qualification Submission Due Date has been extended to April 9th, 2021 at 4 pm PST.

Revision: Section D – Pre-Qualification Criteria Questions and Scoring

Replace pages 4, 5, and 25 of the Request for Pre-Qualification of Bidders for the Sonora Regional Wastewater Treatment Facility Project with the attached pages 4, 5, and 25. Scoring criteria has been revised.

Direct any questions to Mrs. Makayla Dillon, Management Analyst, mdillon@tudwater.com, 209-532-5536 x512.

Erik D. Johnson, P.E.
District Engineer

Prospective Bidders shall acknowledge receipt of Addendum No. 1, consisting of four (4) pages, including this page, in the space provided below and include this sheet with their pre-qualification submittal.

Prospective Bidder Company Name

Signature

INSTRUCTIONS FOR CONTRACTORS

Contractors who wish to be pre-qualified must submit a completed pre-qualification questionnaire to TUD for review. Contractors are required to provide any additional information and/or documentation as instructed on the questionnaire. The Pre-qualification Questionnaire can be found on pages 6 to 18.

A Contractor will be automatically pre-qualified if the responses submitted to all questions in Sections C and D do not raise concerns. A Contractor that does not automatically pre-qualify by “passing” Sections C and D is subject to additional scrutiny. If additional scrutiny is required, TUD will review and evaluate the required supporting documentation and use a numerical scorecard to determine whether the Contractor is pre-qualified as described below.

ESSENTIAL CRITERIA QUESTIONS (Section C of Pre-Qualification Questionnaire)

Contractor is immediately disqualified if:

- Any answer to questions 1 through 7 is “NO”
- Any answer to questions 8 through 14 is “YES”

If the Contractor is not immediately disqualified based on its answers to the questions in Section C, TUD may assume that the Contractor has passed this pre-qualification section. However, TUD may require additional information be submitted to verify the accuracy of the responses provided on the Questionnaire.

PRE-QUALIFICATION CRITERIA QUESTIONS (SECTION D of Pre-Qualification Questionnaire)

Contractors are required to answer questions 1 through 11 and must provide additional information to TUD for any questions where the answer is not “0”. The awarding body will then use this information to calculate a score for Section D in order to determine eligibility for pre-qualification.

All questions in which the Contractor’s response is not “0” are scored. If all of the Contractor’s responses to questions 1 through 11 are “0” TUD may assume that the Contractor has passed this pre-qualification section. However, TUD may require additional information from the Contractor to verify the accuracy of the responses provided.

If any answer to questions 1 through 11 is not “0”, additional scrutiny is required and TUD will analyze the issues to determine whether the Contractor or Subcontractor has a prior history of the issue reported. The Contractor will be required to provide additional documentation and TUD will review the additional documents to calculate a final score between 1 and 5. A score of 1 is assigned where only a single incident exists, a score of 2 is assigned where two incidents exist, etc. up to a score of 5 assigned where five or more incidents of that kind exist.

Certain questions in Section D are weighted two times as much as others based on the severity of the actions and level of a Contractor’s control over those actions. These questions are given additional weight because they involve significant concerns as to whether a Contractor should be pre-qualified. Also, scores were given additional weight based on a Contractor’s level of control over those issues. These questions are scored as follows: If the question receives a score of 5 the total score for a “Red Flag” question would

be 10. The calculation can be seen as follows: (5 x 2 = 10). The following questions are assigned additional weight as explained above: 1, 2, 3, 5, 7, 8, 11.

TUD may use the Scoring Worksheet on page 25, to calculate the Contractor's overall weighted score for Section D. A contractor pre-qualifies only if the overall score is 20 or less, and is disqualified if the overall score is greater than 20.

**PROJECT REFERENCES
(SECTION E below)**

Section E is not scored but allows TUD to collect information about a Contractor's five most recent wastewater treatment related public works projects. The information is meant to assist TUD when conducting interviews of the managers of projects previously completed (that is, the people who supervised the projects for the project owners) by the Contractor wishing to pre-qualify. This may also be provided to TUD to verify answers provided in sections C and D.

Section D. PRE-QUALIFICATION CRITERIA QUESTIONS AND SCORING (revised 3/9/2021)

| Section D. PRE-QUALIFICATION CRITERIA QUESTIONS AND SCORING (revised 3/9/2021) | | | |
|---|--------------|--------------------|--------------|
| Question Number | Points (1-5) | Multiplying Factor | Total Points |
| 1 | | x2 | |
| 2 | | x2 | |
| 3 | | x2 | |
| 4 | | x1 | |
| 5 | | x2 | |
| 6 | | x2 | |
| 7 | | 1 | |
| 8 | | x2 | |
| 9 | | x1 | |
| 10 | | x2 | |
| 11 | | x2 | |
| Total | | | |

Question Type:

| |
|-----------------------------|
| Non-Red Flag Questions (x1) |
| Red Flag Questions (x2) |

A contractor is PRE-QUALIFIED if the total score above is: 20 points or LESS.

A contractor is DISQUALIFIED if the total score above is: GREATER THAN 20 points.

Notes: TUD will review additional documents provided by the Contractor in response to the questions in Section D to calculate a final score. A score on a scale of 1 to 5 is assigned to each question. A score of 1 is assigned where only a single incident exists and a score of 5 is assigned where five or more incidents are reported for that question.